

1 **Treatment dose in post-stroke aphasia: a systematic scoping review**

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40

41 **ABSTRACT**

42 Little is known about how the amount of treatment a person with aphasia receives impacts
43 aphasia recovery following stroke, yet this information is vital to ensure effective treatments
44 are delivered efficiently. Furthermore, there is no standard dose terminology in the stroke
45 rehabilitation or aphasia literature. This scoping review aims to systematically map the
46 evidence regarding dose in treatments for post-stroke aphasia and to explore how treatment
47 dose is conceptualised, measured and reported in the literature. A comprehensive search was
48 undertaken in June, 2019. 112 intervention studies were reviewed. Treatment dose (amount
49 of treatment) has been conceptualised as both a measure of time and a count of discrete

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50 therapeutic elements. Doses ranged from one to 100 hours, while some studies reported
51 session doses of up to 420 therapeutic inputs per session. Studies employ a wide variety of
52 treatment schedules (i.e., session dose, session frequency, and intervention duration) and the
53 interaction of dose parameters may impact the dose-response relationship. High dose
54 interventions delivered over short periods may improve treatment efficiency while
55 maintaining efficacy. Person- and treatment-level factors that mediate tolerance of high dose
56 interventions require further investigation. Systematic exploration of dose-response
57 relationships in post-stroke aphasia treatment is required.

58

59 **Word count:** 5,884 (including abstract, tables headings, figure captions, and
60 citations; excluding abbreviation list, bibliography, figure legend, and appendices)

61 **Keywords:** Aphasia; stroke; treatment; rehabilitation; dose; scoping review

62

63 Abbreviations

64	CIAT	Constraint-Induced Aphasia Therapy
65	ICAP	Intensive Comprehensive Aphasia Program
66	ICF	International Classification of Functioning, Disability, and Health
67	M-MAT	Multi-Modality Aphasia Therapy
68	NHMRC	National Health and Medical Research Council
69	PRISMA	Preferred Reporting Items for Systematic Reviews and Meta-Analyses
70	PRISMA-ScR	PRISMA Extension for Scoping Reviews
71	RCT	Randomised Controlled Trial
72	SCED	Single-case experimental design
73	SFA	Semantic Feature Analysis
74	TIDieR	Template for Intervention Description and Replication

Treatment dose in post-stroke aphasia

75 Aphasia is a significant acquired language impairment affecting 30% of stroke survivors.¹
76 Recovery is highly variable and difficult to predict with aphasia persisting as a chronic
77 condition in up to 50% of cases.²⁻⁴ Aphasia is associated with a 2-fold increased risk of
78 mortality,⁵ higher healthcare costs,⁶ negative consequences for personal relationships, social
79 integration, and economic independence,^{3, 7} and is associated with poorer quality of life than
80 many other debilitating health conditions including Alzheimer's disease and cancer.⁸
81 Treatments are needed to reduce the impact of aphasia.

82 Aphasia treatments may aim to remediate symptoms of language processing
83 impairment (e.g., anomia, agrammatism) by targeting specific linguistic functions (e.g., word
84 retrieval, syntactic processing).⁹ Alternatively, intervention may aim to improve how a
85 person communicates with others using pragmatic, functional communication, and social
86 interaction approaches.⁹ Results of meta-analyses demonstrate the effectiveness of
87 interventions targeting language impairment, communication activity and participation, and
88 communication-related wellbeing.¹⁰⁻¹³ However, little is known about how the amount of
89 treatment a person with aphasia receives impacts aphasia recovery following stroke. This
90 knowledge is vital to improve healthcare efficiency and quality of life for people living with
91 aphasia.

92

93 ***Quantifying aphasia interventions***

94 *Defining dose*

95 There is no consensus definition within the stroke rehabilitation literature to describe the
96 amount of treatment a person receives, nor has standard terminology been established in the
97 aphasia literature.^{14, 15} Attempts to investigate “dose articulation” within pre-clinical and
98 clinical stroke rehabilitation studies are underway.¹⁶ In the aphasia domain, the terms *dose*,
99 *dosage*, and *intensity* are commonly used interchangeably to refer to divergent concepts; for

100 example, the number of repetitions within a specific therapy task, the duration and number of
101 sessions, the overall duration of a treatment program, the total number of treatment hours
102 provided over the course of an intervention, or the effort required to successfully complete a
103 task.^{14, 15, 17} The lack of consensus definitions and inconsistent use of these terms confounds
104 attempts to examine the individual contribution of each parameter to overall treatment
105 effectiveness.¹⁷

106

107 *A way forward*

108 The taxonomy proposed by Warren, Fey, and Yoder¹⁸ and elaborated by Baker¹⁴ provides
109 one definition and delineation of dose and intensity parameters for behavioural interventions
110 (Figure 1). This model is gaining traction in the aphasia treatment literature,^{17, 19, 20} but is not
111 yet widely accepted.

112 The key assertion of this taxonomy is that the amount of therapy provided or received
113 is a product of the number of times the active ingredients of a particular treatment are applied
114 over the course of the treatment schedule. Active ingredients are “the procedures presumed
115 by the interventionists to teach or enhance new learning and behaviour”.¹⁸ Closer
116 examination of the quality and quantity of active ingredients may ultimately enhance our
117 understanding of the mechanisms of action that transform received therapy into improved
118 health and wellbeing.²¹ Once identified, maximising delivery of active ingredients has the
119 potential to increase treatment efficiency and effectiveness.

120

121 *Figure 1 Model of dose and intensity parameters involved in determining optimal*
122 *intervention intensity¹⁴ (reproduced with permission)*

123

124

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125 *Definitions used in this review*

126 In the absence of consensus definitions but informed by the above taxonomy, the following
127 definitions have been adopted for this review:

128

129 *Therapeutic element* The basic unit of therapy; either a therapeutic input or a
130 client act

131 *Session dose* A quantitative measure of the therapeutic content
132 provided in a session, in minutes or therapeutic
133 elements

134 *Total dose* The number or quantity of doses provided or received
135 over an intervention period e.g., total hours, total
136 number of therapeutic elements

137

138 We note that the term ‘intensity’ has commonly been used to refer to *session*
139 *frequency*.^{14, 18} While treatment intensity is not the focus of this review, it is acknowledged
140 that it is often not possible to discuss dose without reference to intensity.²² Further, Warren
141 and colleagues and Baker use *cumulative intervention intensity* for what we will refer to as
142 *total dose*.^{14, 18}

143

144 *Measuring dose*

145 Given the lack of consensus definitions, it is unsurprising that a standard basic unit of dose in
146 aphasia interventions has yet to be established. The prevailing convention in aphasia research
147 and clinical practice has been to measure total dose in number of hours or sessions provided
148 or received^{12, 23}. Hours of therapy is a convenient measure; it is easy to capture, calculate, and
149 compare from one study to the next, has clinical relevance to service providers, is easily

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150 understood by consumers and health policy makers, and satisfies minimum reporting
151 standards (e.g., TIDieR Item 8²⁴).

152 However, measuring the amount of aphasia intervention in hours is inadequate
153 because of the inherent assumption that all hours of therapy are equal. In standard care,
154 intervention often targets several language and communication goals concurrently. Different
155 goals may require different treatment approaches. Ultimately, one hour of treatment may
156 comprise a variety of different tasks.²⁵ Furthermore, one study found that direct therapeutic
157 input accounted for only 57% of the intervention session.²⁶ Unless treatment details are
158 clearly reported and monitored, it is difficult to draw conclusions regarding how often
159 different elements of therapy are being provided. Similarly, the rise of Intensive
160 Comprehensive Aphasia Programs (ICAPs) sees delivery of multifaceted interventions where
161 treatment targets and approaches purposively vary from session to session.²⁷ Measuring the
162 total dose of complex interventions, such as ICAPs, in hours makes it impossible to examine
163 responses to specific therapeutic elements.

164

165 *Optimal dose*

166 Determining the optimal amount of treatment is an important component of stroke
167 rehabilitation planning and provision.²⁸ The term *optimal* conveys aspects of both efficacy
168 and efficiency; the notion of maximal improvement in the minimal amount of time within the
169 constraints of the clinical environment, while meeting patient and clinician expectations of
170 recovery. Currently, clinicians have very little empirical guidance regarding optimal therapy
171 dose across the breadth of communication disorders.²⁹

172 Within the realm of aphasia, evidence suggests there is a range of doses that will
173 result in positive treatment effects. In 2003, Bhogal and colleagues synthesized the existing
174 evidence to investigate the impact of aphasia treatment intensity on recovery of language and

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175 communication functions; studies that demonstrated a statistically significant positive
176 treatment effect provided a total of 98.4 hours of therapy or more, whereas ineffective studies
177 provided a total of 43.6 hours of therapy or less.²³ Although based on few studies, many of
178 which confound intensity and dose parameters, this finding lead to the assumption that “more
179 is better” and has heavily influenced the ongoing examination of dose-response relationships
180 in aphasia research. Several meta-analyses have also demonstrated larger treatment effects
181 with greater amounts of therapy.^{12, 13} The current clinical reality, however, is that few people
182 will receive 100 hours of intervention due to many factors intrinsic and extrinsic to the
183 treatment recipient.

184 Treatments provided at lower doses (i.e., fewer hours) have been developed and are
185 efficacious. For example, treatment efficacy has been demonstrated after 30 hours of multi-
186 modality aphasia therapy (M-MAT),³⁰ constraint-induced aphasia therapy (CIAT),³¹ and
187 ICAPs³² where intervention can be provided in either a massed or distributed treatment
188 schedule.¹⁹

189 The reality is that different therapy targets may require different amounts of treatment
190 delivered at different rates to optimise recovery.¹⁷ For example, optimal gains in naming
191 accuracy may be achieved with a smaller dose of naming treatment as compared to optimal
192 gains in discourse-level auditory comprehension following conversational therapy. At a
193 theoretical level, picture naming in anomia involves stimulation of a relatively simple
194 psycholinguistic process which maps lexical representations to phonological forms involving
195 a relatively discrete neurological network, whereas auditory comprehension in discourse is a
196 far more complex cognitive-linguistic task involving large swathes of both cerebral
197 hemispheres.³³ Furthermore, individual variation in post-stroke aphasia recovery underlines
198 the importance of careful attention to person-level factors that may predict treatment

199 response.³⁴ Determining optimal treatment dose for an individual person with aphasia
200 therefore depends on many person- and treatment-level factors.

201 In summary, treatment effectiveness has been demonstrated over a range of doses
202 which raises a number of important questions. Are lower-dose interventions sufficient or
203 should we expect a greater magnitude of improvement with increased dose of these
204 interventions? Given the literature reported above, at which dose between 30 and 100 hours
205 of a given intervention should we expect optimal recovery for a given individual? What
206 person-level and treatment-level factors are likely to mediate dose-response in aphasia
207 interventions? The answers to these questions will depend on the nature of the relationship
208 between efficacy and dose and, potentially, between efficacy and each independent dose
209 parameter (i.e., session dose, session frequency, and intervention duration).

210 To improve aphasia recovery we need to understand, investigate, and optimise the
211 therapeutic mechanisms that are driving the brain and behavioural change.³⁵ Ultimately, there
212 is a pressing need to find more efficient delivery models to allow rapid recovery to acceptable
213 levels for individuals with aphasia. Treatment dose is an important factor that requires
214 immediate systematic investigation.

215

216 **AIMS**

217 A systematic scoping review was conducted in order to systematically map the evidence
218 regarding treatment dose in post-stroke aphasia and to explore how dose is conceptualised,
219 measured and reported in the aphasia intervention literature.

220

221 **METHODS**

222 *Design*

223 The scoping methodology described by Arksey and O'Malley was adopted as it enables
224 mapping of key concepts underpinning an emerging research area and allows clarification of
225 working definitions and conceptual boundaries of the topic.³⁶ Additional considerations were
226 drawn from a number of sources to enhance methodological rigour.^{37, 38} The PRISMA-ScR
227 checklist was referenced to ensure comprehensive and systematic reporting of the review.³⁹

228

229 *Identifying the research question*

230 Two questions drive this review:

231 1) In the post-stroke aphasia literature, how is treatment dose conceptualised, measured
232 and reported?

233 2) Is there sufficient evidence in the post-stroke aphasia treatment literature to conduct
234 meta-analysis on the effect of differing doses on treatment outcomes?

235

236 *Identifying relevant studies*

237 A comprehensive and systematic search was undertaken in June, 2019 for peer-reviewed
238 randomised controlled trials, quasi-experimental studies, single-case experimental design
239 studies, and case studies which report measures of quantity of behavioural aphasia therapy
240 and aim to investigate the effect of that intervention on language impairment and
241 communication activity/participation for adults with aphasia following stroke.

242 Using the Preferred Reporting Items for Systematic reviews and Meta-Analysis

243 Guidelines (PRISMA),⁴⁰ the following databases were searched, with no language or date

244 limits set: PubMed, Medline, EMBASE, CINAHL, PsycINFO, and Cochrane Library. Table

245 1 shows search terms relating to post-stroke aphasia, intervention, and dose identified from

246 relevant literature. These search domains were combined using the AND operator, and the
247 terms within each domain combined using OR. Search terms were modified in line with
248 individual database subject headings. An example of the final search strategy is provided in
249 Appendix 3. Reference lists of included studies were examined to identify additional studies
250 not captured during the systematic search.

251

252 *Table 1 Search terms relating to treatment dose in aphasia*

253

254 *Selecting studies*

255 Figure 2 shows a PRISMA flow diagram detailing the results of study identification,
256 screening, eligibility, and inclusion. The search yield was imported into citation software and
257 duplicates removed using software and manual checking. Titles and abstracts were then
258 screened by the first author as per the inclusion criteria to determine eligibility for full text
259 review. Twenty percent of full texts were double-screened by a second reviewer (author J. P.)
260 for inclusion, achieving 95% agreement between reviewers. Inconsistencies were discussed
261 and resolved, and inclusion criteria refined to improve application of inclusion/exclusion
262 criteria.

263

264 *Figure 2 PRISMA flow diagram showing the study selection process*

265

266 *Eligibility criteria*

267 Studies inclusion criteria:

- 268
- Full text peer-reviewed journal article in English
 - Includes adults presenting with aphasia, at any time after stroke
- 269

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- 270 • Reports primary data from behavioural treatment(s) targeting language impairment or
271 communication activity/participation
- 272 • Measures and reports the amount of treatment provided

273

274 *Charting the data*

275 Where available, data were extracted from each study regarding study characteristics,
276 participant characteristics, intervention details, and dose parameters (Table 2). A second
277 author (J.P.) double-rated 10% of studies for study design and outcome measure, reaching
278 91% consistency. A data charting template was created and populated by the first author. The
279 template was modified iteratively to accommodate additional concepts as these were
280 encountered in the literature.

281

282 *Table 2 Data items extracted from selected studies*

283

284 **RESULTS**

285 *Literature search results*

286 As per Figure 2, the literature search ultimately yielded a total of 104 intervention studies that
287 reported the amount of therapy provided. A further eight articles meeting inclusion criteria
288 were identified by searching bibliographies of the included studies. A total of 112 papers are
289 included in this review (Appendix 1).^{17, 19, 20, 25, 31, 32, 41-146} A subgroup of 14 papers emerged
290 which examined dose-response by comparing the administration of different amounts of the
291 same intervention across groups or individuals (Appendix 2).^{17, 20, 25, 43, 49, 65, 79, 81, 86, 100, 106, 113,}
292 133, 136

293

294 ***Study characteristics***

295 *Year of publication*

296 Year of publication ranged from 1969 to 2019 (Figure 3). The year with the most published
297 articles in this yield was 2018 (n = 14). The subgroup papers were published between 2005
298 and 2019.

299

300 *Figure 3 Number of publications by year of publication*

301

302 *Sample size*

303 In total, studies reported data from 2,128 individuals with post-stroke aphasia (median n = 8).
304 Sixty-seven studies (60%) reported on 10 participants or fewer (Figure 4). Of these, 17
305 studies involved a single participant. Some individual participants with aphasia were included
306 in more than one published paper. For example, Cherney provided a secondary analysis of
307 data from a subgroup of participants previously reported in Lee and colleagues^{17, 100}. There
308 are several other cases of participant duplication within the overall total.^{44, 68, 69, 75, 76, 109}

309

310 *Figure 4 Number of publications by sample size*

311

312 *Time post-onset*

313 Studies were categorised by the critical time points of recovery proposed by the Stroke
314 Rehabilitation and Recovery Roundtable¹⁴⁷ with one modification: early and late sub-acute
315 epochs were combined as 'subacute'. This reflects historic reporting of time post-onset in the
316 aphasia literature and reporting within the included studies.

317 All studies reported time post-onset. The vast majority of studies (n = 86) involved
318 participants in the chronic phase of recovery (Figure 5). Five studies involved participants

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319 recruited during the acute phase and eight studies during the subacute phase. A number of
320 studies included participants across multiple phases of recovery; acute to subacute (n = 4),
321 subacute to chronic (n = 4), and acute to chronic (n = 5).

322

323 *Figure 5 Number of publications by time post-onset and primary outcome*

324

325 *Reported outcome measures*

326 Outcome measures were classified according to the International Classification of
327 Functioning, Disability, and Health (ICF).¹⁴⁸ In excess of 90 outcome measures were reported
328 in this yield. The majority of papers in this review used a measure of impairment-level
329 language function (Figure 5). A small number of papers reported activity- and participation-
330 level communication measures. Very few studies reported measures of wellbeing, quality of
331 life, or participant satisfaction.

332

333 Primary outcome measures

334 Seventy-nine percent of studies (n = 89) use at least one measure of language impairment (as
335 demonstrated on standardised aphasia tests or non-standardised probes of linguistic
336 functions) as the primary outcome measure, 18% of papers (n = 20) use measures of
337 communication activity/participation via functional real-life use of language in connected
338 speech, discourse analysis techniques, or communication rating scales, and 3% (n = 3) report
339 both impairment and activity/participation measures as co-primary outcomes.

340

341 Secondary measures

342 Due to the substantial variability across the included studies, secondary measures will be
343 described in terms of relative frequency. Studies in this review used omnibus aphasia

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344 batteries to classify aphasia type and severity. A large number of language function measures
345 are reported. Many studies report use of customised measures of impairment, particularly
346 naming batteries, tailored to suit specific participants and treatments. Frequently reported
347 surrogate measures of functional communication skills include the Communicative
348 Effectiveness Index¹⁴⁹ and the Communicative Activity Log³¹. A variety of non-linguistic
349 measures used to determine presence and severity of comorbid cognitive dysfunction are
350 reported, with the Raven's Coloured Progressive Matrices¹⁵⁰ by far the most frequently
351 reported. Measures of quality of life (e.g., Stroke and Aphasia Quality of Life Scale – 39),¹⁵¹
352 well-being, and patient satisfaction (e.g., Communication Outcomes After STroke)¹⁵² are
353 only occasionally reported. A small number of assessment tools used to identify concomitant
354 motor-speech impairment are also reported.

355

356 *Study design*

357 A variety of study designs were retrieved (Figure 6). The majority of studies report single-
358 subject methodologies as defined by the Risk of Bias in N-of-1 Trials (RoBiNT) scale.¹⁵³
359 Aligned with the RoBiNT scale, we have differentiated between single-case experimental
360 designs (SCED, n = 12) which can demonstrate cause-effect relationships between the
361 intervention and changes in the target behaviour, and other single-subject methodologies
362 including quasi-experimental single-case AB designs, and non-experimental pre-post designs
363 and case studies (n = 64) which cannot unequivocally demonstrate treatment effect due to a
364 lack of experimental control.¹⁵³ Randomised controlled trials (RCT, n = 25) and non-

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365 randomised controlled trials (Non-RCT, n = 11) constitute approximately one third of the
366 yield.

367

368 *Figure 6 Number of studies by study design*

369

370 *Total doses reported in the yield*

371 Unsurprisingly, the majority of studies in this yield report dose as the number of hours or
372 sessions of treatment provided (Figure 7), or both. Approximately one quarter (27%) report
373 therapeutic inputs, usually the number of times stimulus items were presented over the
374 intervention period, or client acts, most commonly in the form of response accuracy. Three
375 studies in this review report time-on-task, a measure of the time spent actively engaged in
376 treatment during a session.

377

378 *Figure 7 Number of studies reporting specific dose variables*

379

380 It is difficult to get an accurate picture of how much treatment is provided in aphasia
381 intervention studies due to inconsistent measurement and reporting of dose parameters. Many
382 studies report the treatment schedule such that the prescribed dose can be calculated, but this
383 is not always the case. Furthermore, the prescribed dose may differ to the actual dose that
384 participants receive due to participants missing or refusing treatment sessions. The table in
385 Appendix 1, therefore, lists the total dose that was either prescribed, actually provided, or
386 estimated based on the treatment schedule reported in each study.

387 Prescribed hours of treatment ranged from one hour to 100 hours.^{55, 108} The most
388 frequently prescribed dose of 30 hours is reported in 16 studies (14%), reflective of the
389 prevalence of treatment schedules that follow Pulvermuller and colleagues' seminal CIAT

390 schedule.³¹ Dose as a count of therapeutic elements may be incomparable across
391 interventions due to probable differences in active ingredients of different therapies;
392 however, the maximum reported dose in a lexical retrieval paradigm comes from Rieu and
393 colleagues who provided 4,000 therapeutic inputs over 10 sessions in a cued naming protocol
394 while Knollman-Porter and colleagues provided 8,400 therapeutic inputs to one participant
395 over 20 sessions in a word-picture verification task designed to improve auditory
396 comprehension.^{93, 126}

397

398 *Adjuvant treatments*

399 In clinical aphasia research, adjuvant treatments are provided to participants with the goal of
400 enhancing the effectiveness of the primary intervention. A number of approaches designed to
401 stimulate aphasia recovery are reported in this yield including non-invasive (n = 4) and
402 invasive brain stimulation (n = 1), pharmacology (n = 5), and physical exercise (n = 1). None
403 of the studies reporting adjuvant treatments made comparisons between different doses of the
404 primary behavioural intervention.

405

406 **DISCUSSION**

407 This scoping review yielded papers reporting on 50 years of post-stroke aphasia intervention.
408 These studies comprise single-subject methodologies through to large-scale RCTs.
409 Participants were most often in the chronic phase of recovery and interventions
410 predominantly targeted impairment-level linguistic skills with fewer interventions
411 specifically designed to improve communication activity and participation. A vast array of
412 outcome measures was reported in this yield, consistent with previous reviews demonstrating
413 a plethora of measures used in the aphasia literature.¹⁵⁴ The results will now be discussed as
414 they relate to the main research question addressed in this review.

415

416 ***In the post-stroke aphasia literature, how is treatment dose conceptualised, measured and***
417 ***reported?***

418

419 Dose conceptualisation

420 Researchers undertaking the studies in this review have conceptualised dose in one of two
421 ways: either as a measure of the continuous variable *time* or as a count of discrete variables,
422 i.e., *therapeutic elements*. Baker's dose and intensity parameter model provides a framework
423 which can be applied to post-stroke aphasia interventions.¹⁴

424 A potentially beneficial elaboration of Baker's model of dose parameters would be to
425 clarify the distinction between different dose variables. Figure 8 demonstrates that *dose* could
426 be conceptualised as a specific element of a particular therapy (discrete variable), as a
427 measure of time (continuous variable), or both. Well-defined interventions that target a
428 particular language function, for example, semantic feature analysis (SFA), would be best
429 served by measuring dose in terms of the number of therapeutic elements provided over the
430 course of treatment (i.e., total dose [elements] = session dose [elements] x session frequency
431 x total intervention duration). Alternatively, interventions that utilise multiple therapy
432 approaches per session or social approach therapies where improved communicative
433 exchanges are facilitated through strategy use and/or environmental enhancement (e.g.,
434 supported conversation training) may be best suited to quantifying total dose as a product of
435 time (i.e., total dose [hours] = session dose [minutes] x session frequency x total intervention
436 duration), unless the component tasks (e.g., SFA, conversational scripts, strategy-use training
437 module tasks, etc) could be isolated, quantified, and tallied separately.

438

439 *Figure 8 Dose conceptualised as either a discrete variable or a continuous variable or both,*
440 *based on Baker (2012)*

441

442 It remains unclear whether the way in which dose is conceptualised has any effect on
443 the interpretation of treatment effectiveness. Further examination and comparison of both
444 discrete and continuous dose variables will promote greater understanding of how, and for
445 whom, post-stroke aphasia interventions work.

446

447 Dose measurement and reporting

448 There is inconsistent measurement and reporting of dose in the aphasia intervention literature.
449 The majority of these studies measure and report the total duration of treatment prescribed or
450 provided while fewer studies report total dose as a sum of therapeutic elements (e.g., total
451 number of therapeutic inputs provided or client acts performed). It is surprising that only
452 three studies in this review report the more refined measure of time-on-task considering the
453 relative ease with which computer-assisted or computer-delivered treatments could capture
454 this measure. As previously stated, measuring total dose in hours eliminates the opportunity
455 to examine responses to specific therapeutic elements, the active ingredients of intervention.
456 Optimal delivery of active ingredients will enhance service delivery and patient outcomes. It
457 is tempting therefore to home in on the therapeutic elements of complex behavioural
458 interventions in order to examine and evaluate dose-response relationships. However, a
459 number of issues regarding measurement and reporting of dose parameters prevail in the
460 post-stroke aphasia literature.

461

462 *Therapeutic elements are not routinely measured or reported*

463 There have been many missed opportunities for capturing and reporting discrete therapeutic
464 elements from small-scale single-subject designs to large RCTs. For example, the SP-I-R-IT
465 study purported to be dose-controlled, in that both the intensive and regular groups received
466 100 hours of therapy.¹⁰⁸ The authors acknowledge that, while participants did on average
467 receive similar total dose hours, examination of discrete therapeutic elements would have
468 allowed more fine-grained analysis of treatment effects. Additionally, in both research and
469 clinical practice total treatment dose is frequently augmented through the provision of self-
470 administered home-based therapy.¹⁰¹ Increasingly, the feasibility and effectiveness of self-
471 directed computer- or tablet-based treatments is being explored.^{97, 155} While prescribed
472 treatment schedules are routinely reported in these studies, the reliability of participants'
473 compliance with practice schedules is rarely reported. As Kurland and colleagues note:
474 “Future studies of the benefits of [home practice] should take advantage of technological
475 advances in mobile health technology ... that can allow for remote monitoring, video/audio
476 collection of speech samples, reaction time, practice time, and remote adjustment of task
477 difficulty.”⁹⁷

478

479 *Discrete therapeutic elements are reported but not analysed*

480 In this yield of studies, particularly those reporting naming interventions, the number of
481 stimulus items, cues, accurate responses, inaccurate responses, and self-corrected responses
482 are often reported. In addition to reporting the number of stimuli and protocolised cues used,
483 there is an opportunity to examine the dose-response relationship for individual participant
484 outcomes with regard to these therapeutic elements.^{65, 79}

485 Furthermore, naming studies vary in the way that stimuli and naming attempts are
486 balanced. For example, Fillingham and colleagues found that the number of naming attempts

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487 correlates with picture naming accuracy, a finding replicated by DeDe and colleagues albeit
488 under very different treatment conditions.^{65, 71} However, Snell and colleagues found that the
489 size of stimulus sets correlates with the number of words learned in therapy and that this
490 correlation was not affected by aphasia severity.¹³³ The optimal balance between the number
491 of stimuli and the number of practice opportunities thus remains to be resolved. There are
492 also unanswered questions regarding how stimulus items should be distributed within
493 sessions to enhance learning of individual items. As Dignam and colleagues postulated,
494 distributed practice may enhance new word learning and maintenance of treatment gains.¹⁵⁶
495 The effect of spaced retrieval within individual treatment sessions needs to be systematically
496 explored.

497 Current reporting guidelines (e.g., TIDieR) need to be extended to encourage
498 systematic measurement and reporting of dose variables and treatment schedules. Routine
499 analysis will contribute to the identification and exploration of key therapeutic elements and,
500 thus, a deeper understanding of how, and for whom, behavioural interventions work.

501

Different doses across participants/groups are not analysed

503 The amount of therapy participants receive often deviates from the treatment protocol due to
504 factors beyond experimental control (e.g., participant withdrawal, missed treatment sessions,
505 etc). For example, Wenke and colleagues describe their pilot RCT in which participants
506 received either four or eight hours per week of a comprehensive aphasia treatment program
507 over eight weeks.¹⁴³ The two groups received different total hours of treatment (i.e., 32 vs 64
508 hours); however, no formal between-group analyses of participant outcomes was performed
509 due to unanticipated withdrawals, small sample size, and participant heterogeneity.

510 Therefore, possible dose-response relationships were not examined. Likewise, the two groups
511 examined by Rodriguez and colleagues received different doses but the groups were pooled

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512 for analysis of treatment effects,³² thereby obscuring possible between-group dose-related
513 differences.

514

515 In summary, *dose* has been conceptualised as both a discrete variable and a continuous
516 variable in aphasia interventions. The measurement and reporting of dose parameters is
517 inconsistent across the post-stroke aphasia intervention literature. A model for
518 conceptualising and measuring dose parameters exists, yet has not been routinely employed
519 in the reporting of results. Reporting guidelines should be extended to encourage researchers
520 to provide more detail regarding treatment dose parameters. More consistent measurement
521 and reporting will allow for more rigorous synthesis of findings and comparison between
522 different interventions which may lead to increased treatment effectiveness and efficiency
523 and, ultimately, better outcomes for people recovering from post-stroke aphasia.

524

525 *Additional emerging factor: Therapy studies may be under-dosed*

526 A common refrain in the aphasia literature is that interventions are often administered at
527 doses too low to stimulate the neural reorganisation thought to underlie behaviour change.¹⁰⁶

528 It is open to conjecture just how far below optimal dose current therapy regimes are. The idea
529 of *dose ranging*, where doses are escalated until the point at which the side-effects of
530 intervention outweigh the benefits of participation, may be new to behavioural interventions
531 in stroke rehabilitation. There are no published reports of dose ranging studies in aphasia.

532 While some studies in this review report doses of up to 100 hours¹⁰⁸ and 108 sessions,⁶⁹ such
533 high doses are uncommon. The current clinical reality is that 100 hours of treatment is rarely
534 feasible due to costs and logistics, and may not be tolerable or agreeable to many people with
535 aphasia. High dose of therapeutic elements need not equate to prolonged intervention periods.

536 An obvious solution is to increase session dose of the active ingredients of therapy. There is

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537 preliminary evidence to suggest that gains in language and communication functions can be
538 achieved with relatively brief interventions. For example, picture naming accuracy and
539 discourse-level word retrieval can improve to statistically significant levels and be
540 maintained after just one treatment session for some people with aphasia.^{55, 81}

541

542 *Additional emerging factor: Intervention tolerance*

543 A balance between the effort required to engage in treatment and the potential reward of
544 improved language and communication skills needs to be negotiated on a person-by-person
545 basis. Treatment schedules that provide large amounts of therapy over a long duration may
546 not be tolerable or even preferable for all people with aphasia.

547 Person-level factors that influence intervention tolerance and expectations of recovery
548 need to be considered.¹⁷ For example, tolerance may be mediated by time post-onset,
549 concurrent medical and cognitive comorbidities, fatigue, psychosocial and interpersonal
550 factors, adjustment and grief associated with change of identity and loss of function, and
551 personality traits.¹¹⁷ Signs that a person is not tolerating treatment may include withdrawal or
552 refusal to receive treatment,¹² degraded performance of an established skill due to “reactive
553 impedance” (i.e., boredom, mental fatigue, inattention, and deficient processing),¹⁵⁷ or
554 reduced patient satisfaction with treatment.⁹³

555 Treatment-related variables such as difficulty of therapy tasks, and the dose and
556 intensity of treatment schedules may also impact tolerance. Time constraints affecting access
557 to clinical services and costs associated with prolonged treatment necessitate the development
558 of efficient models of care. Furthermore, expedited recovery to acceptable levels of function
559 may allow people with aphasia to return to preferred activities sooner with obvious
560 implications for enhanced well-being.

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561 There were no attempts to determine the maximum tolerable dose of any aphasia
562 intervention in the studies included in this review. Signals are emerging from the acute and
563 subacute periods that total doses in excess of 60 hours may not be tolerable for people during
564 this phase of recovery from stroke.^{43, 49} In the chronic phase, an upper limit has not been
565 established for high dose, high frequency interventions, including those that provide very
566 high session dose.^{81, 126} There is evidence in the literature of selective exclusion of
567 participants from clinical trials due to a predicted inability to tolerate prescribed
568 interventions; however little or no discussion of the predictive determinants driving these
569 decisions is reported.²⁵ Further exploration of the person- and treatment-specific factors
570 likely to impact intervention tolerance is required. Clear delineation of these factors will
571 enable enhanced treatment prescription and individual recovery from post-stroke aphasia.

572

573 ***Future directions for research on post-stroke aphasia treatment***

574 *Synthesis of findings from dose comparison studies*

575 Fourteen papers in this yield explored dose-response relationships by comparing groups or
576 individuals who received different amounts of the same therapy throughout a prescribed
577 intervention period, with mixed findings (Appendix 2). Few studies set out to systematically
578 compare dose-response relationships; rather, the majority performed exploratory post-hoc
579 statistical analysis after finding participants received different doses throughout the treatment
580 schedule. However, future synthesis of the findings from these reports, where possible, may
581 reveal important signals regarding dose-response relationships in post-stroke aphasia
582 interventions.

583

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584 *Consensus definitions for dose parameters in aphasia interventions are required*

585 Inconsistent measurement and reporting of dose parameters across the aphasia literature
586 stems from a lack of standard definitions. The terminology in the existing model provided by
587 Baker lays the foundation for discussions regarding dose and intensity parameters.¹⁴
588 Consistent use of terminology will have important implications for the development,
589 implementation, and evaluation of dose and intensity studies, for synthesis of data across
590 these studies, for the theoretical exploration of what drives treatment response in these
591 interventions, for clinical decision-making regarding service delivery, and for health policy
592 makers. Once consensus definitions are in place, reporting guidelines (e.g., TIDieR) need to
593 be extended to encourage systematic routine measurement and reporting of dose variables
594 and treatment schedules.

595

596 *Dose ranging and maximum tolerable dose*

597 Upper limits of dose have yet to be established across the post-stroke recovery continuum.
598 There is a pressing need to determine upper dose limits of aphasia interventions through
599 incremental escalation studies across the critical timepoints of recovery following stroke. The
600 amount of time and effort expended to achieve a clinically significant and worthwhile change
601 in language skills or communication function requires close consultation with participants to
602 determine the criteria by which to define optimal treatment outcome. Future intervention
603 studies should drive improved language and communication outcomes in post-stroke aphasia
604 through systematic dose ranging studies across a range of aphasia interventions. A number of
605 treatment approaches, particularly those targeting lexical retrieval, are ready for this level of
606 exploration.

607

608 ***Limitations***

609 The question at the base of this review is broad, in line with scoping review methodology.
610 However, it is acknowledged that the studies included for review represent a small subset of
611 the aphasia intervention literature. Lack of consensus on terminology and dose parameter
612 reporting standards may also mean that some relevant studies may have been missed. This
613 review did not attempt to systematically evaluate the quality of the evidence due to the large
614 yield and limited resources available to perform this task, nor was the data extraction chart
615 checked for accuracy by a second reviewer.

616 The final major limitation is that treatment dose is invariably confounded with
617 treatment *intensity*.¹⁵⁸ In the aphasia literature, intensity has come to be synonymous with
618 *frequency* and means the rate at which a particular dose is provided: it is the quotient of dose
619 over time. Dose and intensity are, therefore, interdependent. When evaluating interventions,
620 we are faced with the issue of determining which parameter, if any, confers the treatment
621 effect. It is possible, perhaps probable, that the overall impact on outcome is a result of the
622 interaction between a number of these variables.¹⁵⁹ Further research is required to compare
623 and contrast the relative effects of treatment dose and treatment intensity.

624

625 **CONCLUSION**

626 Treatment dose is an important parameter of post-stroke aphasia interventions. Most aphasia
627 intervention studies report the total number of treatment hours or sessions provided rather
628 than counts of therapeutic elements. A conceptual framework for describing and reporting
629 discrete therapeutic elements exists and, with sufficient uptake, will improve consistency of
630 measurement and reporting across aphasia treatment trials. At present, inconsistent
631 measurement and reporting of dose may hamper systematic synthesis of findings across
632 intervention studies. Nevertheless, there is emerging evidence of dose-response relationships

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633 in a small number of studies. However, studies employ a wide variety of treatment schedules
634 (i.e., session dose, session frequency, and intervention duration) and the particular
635 combination of these may also impinge on the relationship between efficacy and total dose.
636 High dose interventions delivered over short intervention periods may improve treatment
637 efficiency. Person- and treatment-level factors that mediate tolerance of high dose
638 interventions require further investigation. Further systematic exploration of dose-response
639 relationships in post-stroke aphasia treatment is required.

640

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645

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1067 Figure and table legend

- 1068 Figure 1 Model of dose and intensity parameters involved in determining optimal
1069 intervention intensity (Baker, 2012 based on Warren et al., 2007)
- 1070 Table 1 Search terms relating to treatment dose in aphasia
- 1071 Figure 2 PRISMA flow diagram showing the study selection process
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- 1073 Figure 3 Number of publications by year of publication
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- 1078 Figure 8 Dose conceptualised as either a discrete variable or a continuous variable or both,
1079 based on Baker (2012)